



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Scott P. Wood, et al.  
Appln. No.: 10/649,542  
Filed: August 26, 2003  
For: TRANSFER CASE HAVING  
ELECTROMAGNETIC  
SYNCHRONIZER AND BRAKE

Examiner: Unknown  
Art Unit: 3611  
Attorney Docket No: DKT03045

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Information Disclosure Statement; Form PTO-1449  
☒ Return Receipt Postcard

Fee calculation and payment:

- ☒ No additional fee is required.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

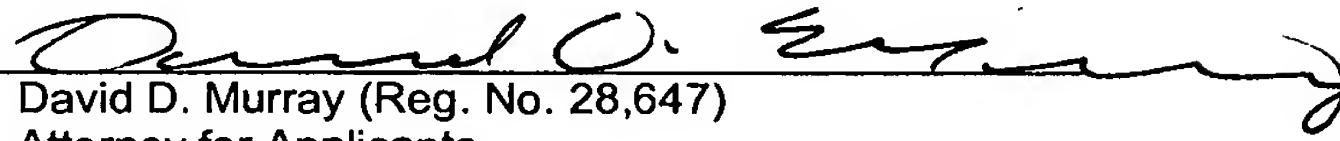
					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Presentation of Multiple Dep. Claim					+\$145=			+ \$290=	
					Total	\$		Total	\$

Fee calculation and payment:

- ☐ A check in the amount of \$\_\_\_\_\_ to cover the above-identified fee(s) is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

Respectfully submitted,

January 26, 2004  
Date

  
David D. Murray (Reg. No. 28,647)  
Attorney for Applicants

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: January 26, 2004 Name: David D. Murray Signature: 

BRINKS  
HOFER  
GILSON  
& LIONE

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610



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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants cite the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

No.	Date of Publication	Patentee/Applicant/Assignee
4,458,557	07/10/84	Hayakawa
5,039,305	08/13/91	Pierce
5,046,999	09/10/91	Liu et al.
5,061,229	10/29/91	Tsukamoto et al.
5,334,116	08/02/94	Baxter, Jr.
5,842,947	12/01/98	Weilant
5,961,414	10/05/99	Beim et al.
6,093,125	07/25/00	McCarrick et al.
6,170,921	01/09/01	Naerheim

Applicants respectfully solicit the Examiner's consideration of the listed references and entry thereof into the record of this application.

By submitting this Statement, Applicant are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed

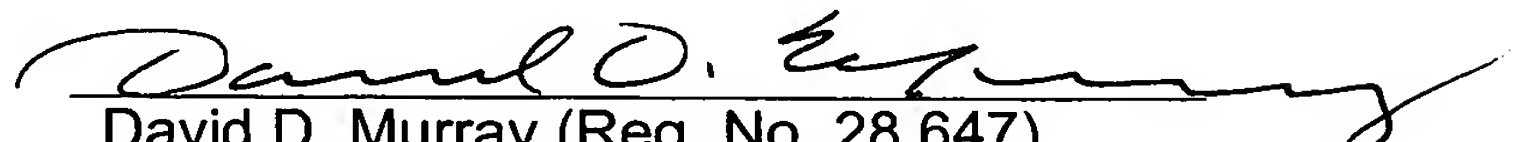
references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

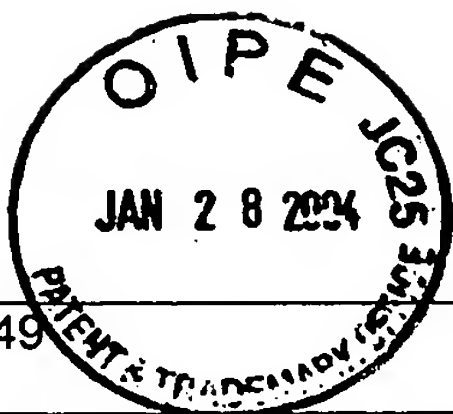
Respectfully submitted,

January 26, 2004

Date

  
David D. Murray (Reg. No. 28,647)  
Attorney/Agent for Applicants

Enclosures: Form PTO-1449 (one sheet)



FORM PTO-1449	SERIAL NO. 10/649,542	CASE NO. 3343-298
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE August 26, 2004	GROUP ART UNIT 3611
(use several sheets if necessary) 1 of 1	APPLICANT(S): S. Wood, et al.	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	4,458,557	07/10/84	Hayakawa		
	A2	5,039,305	08/13/91	Pierce		
	A3	5,046,999	09/10/91	Liu et al.		
	A4	5,061,229	10/29/91	Tsukamoto et al.		
	A5	5,334,116	08/02/94	Baxter, Jr.		
	A6	5,842,947	12/01/98	Weilant		
	A7	5,961,414	10/05/99	Beim et al.		
	A8	6,093,125	07/25/00	McCarrick et al.		
	A9	6,170,921	01/09/01	Naerheim		
	A10					
	A11					
	A12					
	A13					

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
	A14					
	A15					
	A16					
	A17					
	A18					

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A19	
	A20	
	A21	
	A22	
	A23	
	A24	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.